



5-17-01 0450 #3

Dkt. 55672-A-PCT-US/JPW/GJC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gloria C. Li, et al.
U.S. Serial No : 09/750,410 (Continuation Application of
PCT/US99/14702, International filing date
30 June 1999)
Filed : December 28, 2000
For : USES OF DNA-PK

1185 Avenue Of The Americas
New York, New York 10036
March 28, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

COMMUNICATION TRANSMITTING VERIFIED STATEMENT
(DECLARATION) CLAIMING SMALL ENTITY STATUS UNDER
37 C.F.R. §1.9(f) AND §1.27(d)

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A filing fee at the small entity rate of \$449.00 was originally paid in connection with the filing of the above-identified patent application on December 28, 2000 thereby establishing small entity status.

In support of the claim for small entity status, applicants submit herewith, as **Exhibit A**, a Verified Statement (Declaration) Claiming Small Entity Status under 37 C.F.R. §1.9(f) and §1.27(d) signed by Mr. James S. Quirk, an authorized official of the assignee of record of the subject application, namely, Sloan-Kettering Institute for Cancer Research.

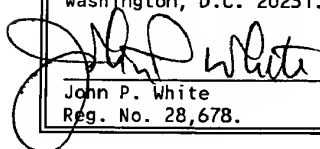
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As indicated in the Verified Statement (Declaration) Claiming Small Entity Status Under 37 C.F.R. §1.9(f) and §1.27(d) all rights in this application are to a small entity.

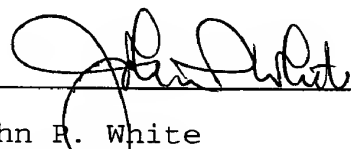
No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231.


John P. White
Reg. No. 28,678.

3/28/01
Date


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